



FORM PTO-1449	Atty. Docket No.: 19369/110/101	Serial No.: 09/936,248
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Richard S. Kusleika et al.	
	Filing Date	Group Art:
	January 28, 2002	3731

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,152,946	11/28/00	Broome et al.	606	200	03/05/98
AB	6,458,151	10/01/02	Saltiel	623	1.11	09/07/00
AC						
AD						
AE						

## U.S. PATENT APPLICATION PUBLICATIONS

	Document No.	Date	Name	Class	Sub Class
AF	2001/0012951 A1	08/09/01	Bates et al.	606	200
AG					

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AH	WO 02/060519 A1	08/08/02	PCT	A61M	29/00	X
AI	WO 97/17021	05/15/97	PCT	A61B	17/00, 17/22,17/30	X
				A61F	2/06	
				A61N	1/05	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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AJ	JAN 08 2003
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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



6p/3731

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Richard S. Kusleika et al.

Serial No.: 09/936,248

Examiner: Unknown

Filing Date: January 28, 2002

Group Art Unit: 3731

For: MINIMALLY INVASIVE MEDICAL DEVICE DEPLOYMENT AND RETRIEVAL  
SYSTEM

Docket No.: 19369/110/101

#

TRANSMITTAL SHEET

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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JAN 08 2003  
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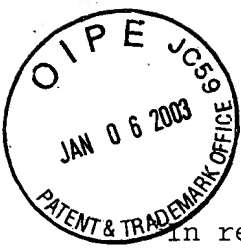
<p><b>CERTIFICATE UNDER 37 C.F.R. 1.8:</b> I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this <u>30</u> day of <u>January</u>, <u>2003</u>.</p> <p>By: <u>[Signature]</u> [Name]</p>
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We are transmitting herewith the attached:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established.
- ☒ Other: Supplemental Information Disclosure Statement; Form PTO 1449; and Copies of References Cited.
- ☒ Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 14-0620.

By: Lawrence M. Nawrocki  
Lawrence M. Nawrocki  
Reg. No. 29,333

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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard S. Kusleika et al.

Serial No.: 09/936,248

Examiner: Unknown

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SYSTEM

Docket No.: 19369/110/101

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an enveloped addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on this 31<sup>st</sup> day of January, 2003

By: \_\_\_\_\_

Carolyn L. Erickson

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Richard S. Kusleika et al.

By their attorney,

Dated: \_\_\_\_\_

December 18, 2002

Lawrence M. Nawrocki

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